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OFFICE OF RESEARCH  
INTEGRITY & COMPLIANCE

# 2023 ANNUAL REPORT

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# EXECUTIVE SUMMARY

CAREN J. FROST, PHD, MPH  
ASSOCIATE VICE PRESIDENT FOR  
RESEARCH INTEGRITY & COMPLIANCE

I am incredibly pleased to be providing this report about the activities and goals of the Office of Research Integrity & Compliance (ORIC). This office has served as a resource for researchers and research units at the University of Utah, even during the COVID-19 pandemic, and continues the work begun by Dr. Jeffrey Botkin and Dr. Erin Rothwell. As the organization chart (see below) shows, the ORIC is composed of crucial departments that manage information on and educate about research integrity and compliance. As we move toward meeting the President's goal of \$1 billion in research funding, it is important that we ensure a research rich resource environment is created that supports and augments the crucial activities of our University.

The overall purpose of the ORIC is "to advance research integrity and compliance through developing, demonstrating, and disseminating innovative processes, tools and outreach that improve scientific outcomes, transparency and accountability through the translational research continuum." In line with the Vice President for Research's statement against racism, "racism and discrimination of any kind will NOT be tolerated in the U research community," the ORIC values are to promote:

## **Transparency | Accountability | Integrity | Advocacy | Diversity**

Over the past three years, the ORIC has created and revised university policies relating to research misconduct, authorship, and regulatory committee membership, while drafting updates to policies on foreign influence, data retention and stewardship, and codes of conduct in research compliance activities. The ORIC oversees the Steering Committee addressing the new National Institutes of Health (NIH) policy on data management and sharing plans, as well as the Task Force on Data Stewardship and Repatriation of Data. We now have two research integrity officers, who manage concerns about unprofessional behaviors and research misconduct.

Over the past year, we have updated our Office of Research Education (REd) offerings and the Office of Research Participant Advocacy (ORPA) has revised our "Studies for U" website. The Office of Quality Compliance (OQC) continues to create Standard Operating Procedures (SOPs) and tools for clinical and other research studies. The Resource for Genetics & Epidemiology (RGE) is in the process of refining its business model and establishing a research portal. The Conflict of Interest Office (COI) and the Institutional Biosafety Committee (IBC) continue their activities to integrate with the software systems across campus. In addition, our Human Research Protections Program (HRPP), which oversees our Institutional Review Board (IRB), has managed our accreditation status, and ensured that the HRPP continues to meet the standards for that process.

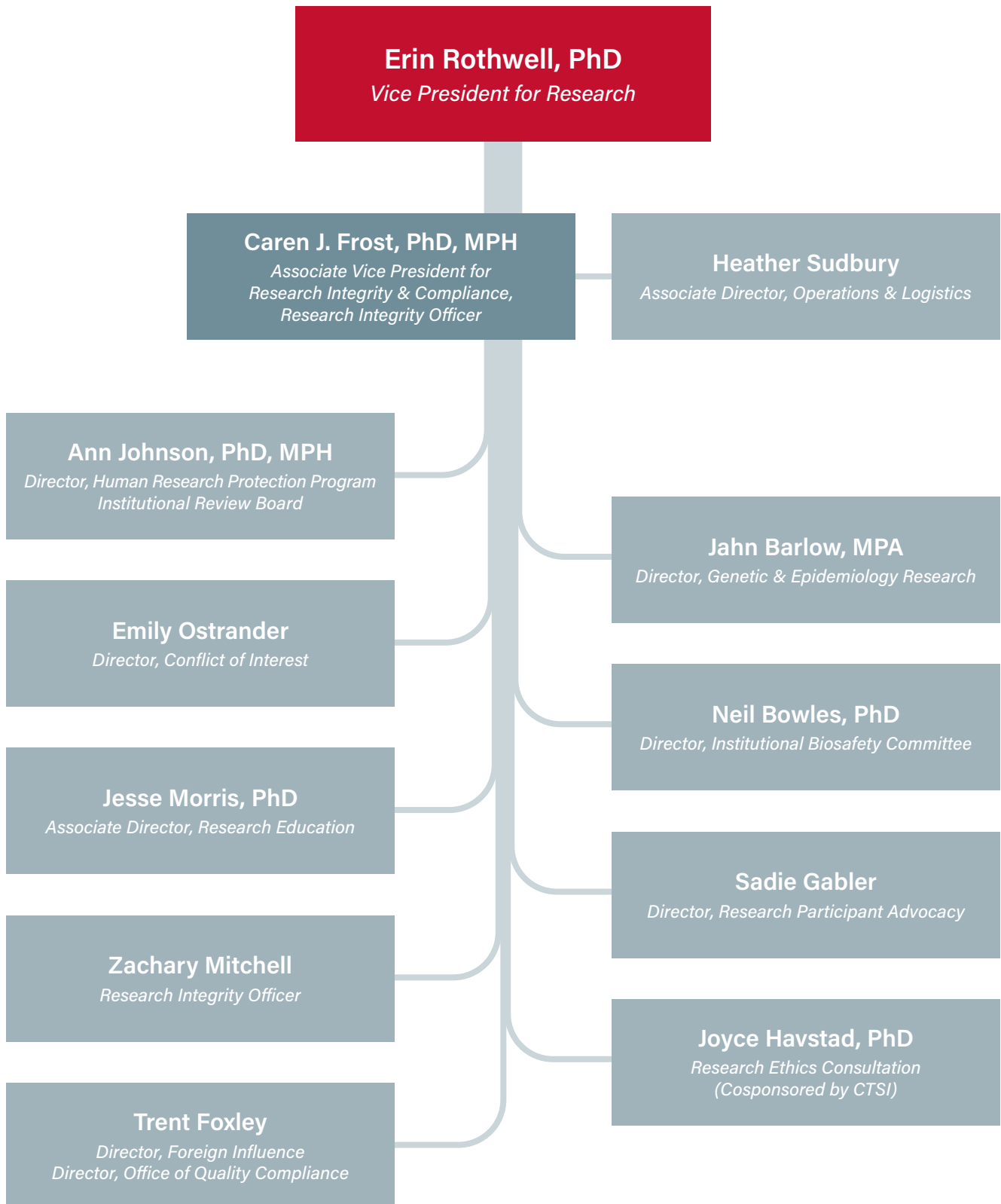
Each department in the ORIC has developed its own strategic plan and is moving forward in addressing their planned activities. Pertinent metrics are provided in the pages of this report, which is a living document highlighting the dynamic work of the ORIC. If you have questions or ideas for the ORIC to address or consider, please contact us at [researchintegrity@utah.edu](mailto:researchintegrity@utah.edu).

I want to give a shout out to each of the departments in the ORIC! Their directors and staff understand the crucial impact of the work we do and the resources we provide for our community, both on and off campus. We are a collaborative team and here for brainstorming and discussions about the research environment and research-related activities. For more information about the ORIC, please visit our website at [www.integrity.research.utah.edu](http://www.integrity.research.utah.edu). We are here to support U!

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# ORG CHART







# OFFICE OF RESEARCH INTEGRITY & COMPLIANCE DEPARTMENTS

## MISSION

The mission of the Office of Research Integrity and Compliance is to advance research integrity and compliance through developing, demonstrating, and disseminating innovative processes, tools and outreach that improve scientific outcomes, transparency and accountability through the translational research continuum.

<b>Conflict of Interest (COI)</b>	Emily Ostrander   Director <a href="https://coi.utah.edu">https://coi.utah.edu</a>
<b>Foreign Influence / Quality Compliance (OQC)</b>	Trent Foxley   Associate Director <a href="https://foreigninfluence.utah.edu">https://foreigninfluence.utah.edu</a> <a href="http://qualitycompliance.research.utah.edu">http://qualitycompliance.research.utah.edu</a>
<b>Institutional Biosafety Committee (IBC)</b>	Neil Bowles, PhD   Biosafety Officer <a href="https://ibc.utah.edu">https://ibc.utah.edu</a>
<b>Institutional Review Board (IRB)</b>	Ann Johnson, PhD, MPH   Director <a href="https://irb.utah.edu">https://irb.utah.edu</a>
<b>Research Education (REd)</b>	Jesse Morris, PhD   Associate Director <a href="https://education.research.utah.edu">https://education.research.utah.edu</a>
<b>Research Ethics Consults (RECs)</b>	Joyce Havstad, PhD   Associate Professor <a href="https://integrity.research.utah.edu/recs.php">https://integrity.research.utah.edu/recs.php</a>
<b>Research Misconduct</b>	Zachary Mitchell   Research Integrity Officer <a href="https://integrity.research.utah.edu">https://integrity.research.utah.edu</a>
<b>Research Participant Advocacy (RPA)</b>	Sadie Gabler   Director <a href="https://rpa.utah.edu">https://rpa.utah.edu</a>
<b>Resource for Genetic &amp; Epidemiologic Research (RGE)</b>	Jahn Barlow, MPA   Director <a href="https://rge.utah.edu">https://rge.utah.edu</a>



## Responsible Conduct of Research (RCR)

RCR is more than just completing CITI or GCP training. In-person training is also needed for graduate students, staff and faculty.



## E<sup>3</sup> Series

Discussions linked to current issues for research conduct.



## Self-Assessment Tools

Do you want to make sure you are following proper research protocol? Are you going to have an audit and want to make sure you are ready? We have tools to help you.



## Quality Compliance Tool Kit

This tool kit offers templates to assist research teams with conducting, tracking and/or monitoring research activities.



## Research Misconduct and other Research Misbehaviors

Need to make a confidential report about research misconduct or other research misbehaviors? Please contact us. "What would U do?" "See something, say something."



## Institutional Conflict of Interest

When the institution or an institutional official has a financial interest, equity, or receives gifts or any type of payment, you need to disclose.

## CONTACT

**Caren J. Frost, PhD, MPH**

*Associate Vice President for Research*  
[caren.frost@socwk.utah.edu](mailto:caren.frost@socwk.utah.edu)

**Heather Sudbury**

*Associate Director, Operations & Logistics*  
[heather.sudbury@hsc.utah.edu](mailto:heather.sudbury@hsc.utah.edu)

801-581-7170

<https://integrity.research.utah.edu/>

# CURRENT ISSUES



## Conflict of Interest

Public awareness of the concept of conflicts of interest has increased dramatically in recent years. From Supreme Court justices to private entrepreneurs, everyone may be subject to potential scrutiny by the media. At the University of Utah, a financial conflict of interest exists when an individual, or the institution, has financial interests that have the potential to influence, or bias, their responsibilities to the University. Our institution encourages innovation and relationships with the business community, but when these relationships create conflicts of interest, they must be identified and managed appropriately to protect our integrity and scientific merit. Disclosure is the key mechanism to bring financial conflicts of interest to light for evaluation and possible oversight. Keep your financial disclosure updated in the University's online Business Relationship Reporting system at <http://BRR.utah.edu>, and contact the Conflict of Interest office at [COI@utah.edu](mailto:COI@utah.edu) to talk through questions at any time.

## Institutional Review Board

The University of Utah IRB and IRBs nationwide are focusing on valuable endeavors in several areas. In the past year, the University of Utah IRB has developed a community advisory panel whose role is to provide essential feedback about working with underrepresented communities and building relationships and trust between researchers and study teams. We have taken a lead role in defining University policy to break down barriers for including Spanish speakers in research by implementing translation and interpretation requirements. Both of these projects are essential in our diversity, equity, inclusion, and justice efforts. The IRB has also been a part of the University's working group to help define responsibilities and address institutional needs regarding the NIH's newest data sharing policy (implemented January 2023). Another matter IRBs face is balancing the feasibility of returning research results with the increasing desire of the participant community to have their results returned to them. We have participated in nationwide discussions on the ethics and practicalities of who should be informed and how best to address needs of the individual versus the research infrastructure required for investigators to undertake this obligation to the public.

## Research Education

The current issues facing REd are the development of new content in response federal agency policy updates. The current issues focus on NIH and NSF requirements for Responsible and Ethical Conduct of Research (RECR) and Research Mentoring (RM). REd is also developing a strategy for offering fee-based trainings to external participants. This program will initially focus on providing NIH and NSF trainings to address the RECR/RM requirements. These external-facing courses will be marketed to PUIs and R2/R3 that likely lack research education capacity.

## Resource for Genetic and Epidemiologic Research

RGE is working with partner institutions and the State of Utah to ensure adequate safeguards to secure confidential data and protect the privacy of Utah residents.

## Research Integrity/Misconduct

The rapid evolution of artificial intelligence (AI) tools, such as ChatGPT, presents researchers with opportunities and challenges – many of which are still yet to be known. In fact, the use of these AI tools moves forward even as federal agencies<sup>1</sup> and industry leaders<sup>2</sup> express alarm, call for pause, and seek to develop standards and processes for the use of AI technologies. The Office of Research Integrity & Compliance (ORIC) is closely observing these developments to establish clear policies and guidance for the use of these tools at the University of Utah. Regardless of the future AI climate and landscape, researchers using AI tools at the University should use the tools with integrity and accountability.

1. <https://www.gao.gov/products/gao-23-106811> and <https://www.gao.gov/products/gao-21-519sp>
2. <https://www.science.org/content/article/alarmed-tech-leaders-call-ai-research-pause> and <https://futureoflife.org/open-letter/pause-giant-ai-experiments/>





# COI

# CONFLICT OF INTEREST

► **Disclosure is the key mechanism to bring potential financial conflicts of interest to light for evaluation and possible oversight.**

File your financial disclosure online in the University's Business Relationship Reporting system at <http://BRR.utah.edu> (or search for BRR in Campus Information Systems).



## PROTECTIONS

Conflict of interest oversight provides protections to:

- Faculty
- Staff
- Students
- Researchers
- The University
- The Public
- Human Subjects
- Research Integrity



## MISSION

The mission of the COI Office is to support innovation and research at the University of Utah. Effective management of financial conflicts of interest in research, scholarly activity, and business transactions protects the integrity and scientific merit of our community.

A financial conflict of interest exists when an individual, or the institution, has financial interests that have the potential to influence, or bias, their responsibilities to the University.

## SERVICES



Education & Training



Compliance



Institutional Review



Individual Review



Research



Reporting

**Please contact us at any time for a consultation!**

🌐 <https://coi.utah.edu>

📞 801-587-3232

✉️ [COI@utah.edu](mailto:COI@utah.edu)

**Emily Ostrander**

*Director*

[Emily.Ostrander@utah.edu](mailto:Emily.Ostrander@utah.edu)

**Heather Nyman, PharmD**

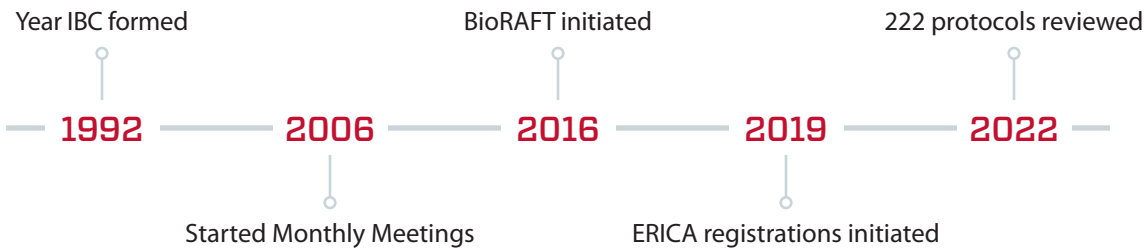
*COI Committee Chair*

[Heather.Nyman@hsc.utah.edu](mailto:Heather.Nyman@hsc.utah.edu)

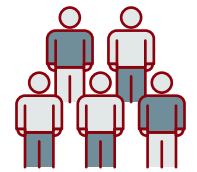


# IBC

# INSTITUTIONAL BIOSAFETY COMMITTEE



**20**  
Committee Members



## WHAT WE DO

We review research with hazardous or potentially hazardous biological materials, including recombinant or synthetic nucleic acids, pathogenic organisms, biological toxins and human samples, for compliance with federal and local regulations.

## MISSION

The mission of the Institutional Biosafety Committee is to support safe, secure and responsible research by assuring the safety of researchers, research materials, the environment and the public.

## VISION

To build a partnership with researchers to ensure that all personnel are aware of risks and that they are empowered to learn, discover and work in a manner that protects human health and the environment.

## SERVICES

### We review:

- Recombinant or synthetic nucleic acid molecules research
- Studies using human and animal pathogens, including materials known to harbor pathogens
- Work with Acute Biological Toxins
- Materials potentially containing human pathogens (for example, unfixed human specimens, human blood, human cell lines)

### We have expertise to assist you with:

- Risk Assessment
- Training
- Personal Protective Equipment selection
- Suitability of Engineering Controls
- Choice of Disinfectants
- Standard Operating Procedures



## CONTACT

### Neil E. Bowles, PhD

*IBC Director Biosafety Officer*  
801-585-9325  
Neil.Bowles@ehs.utah.edu

### Chris Hunter, BS

*IBC Administrator*  
Assistant Biosafety Officer  
801-585-3345  
Chris.Hunter@ehs.utah.edu

### David Gillespie, PhD

*IBC Chair*  
David.Gillespie@hci.utah.edu

### Debbie Eckert, PhD

*IBC Vice Chair*  
Deckert@biochem.utah

<https://ibc.utah.edu>

We use BioRAFT for the registration of laboratory-based research and we ERICA for clinical studies involving human subjects.



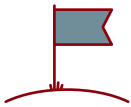


# IRB

# INSTITUTIONAL REVIEW BOARD

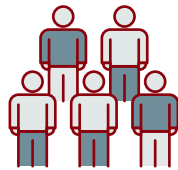
## 1970

Year IRB formed



## 120

Board members



## 14-18

Meetings monthly



## 2005

Electronic Submission System Implemented



## 158

Single IRB Studies  
UU Acts as IRB



## WHAT WE DO

We conduct ethics review for research that includes humans as participants. This includes biomedical research and social and behavioral sciences research.

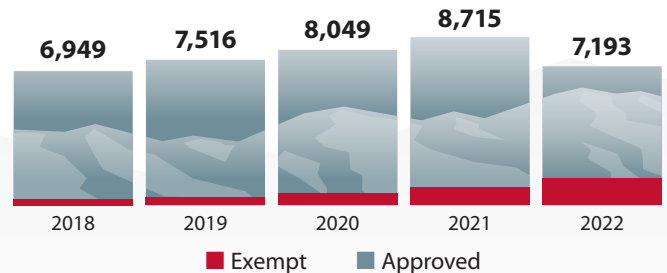
## MISSION

To protect the rights and welfare of human research participants by ensuring compliance with state and federal laws, as well as the high ethical standards set forth in University policy.

## VISION

To provide the University and national research communities with the support and resources they need to conduct high quality research, using innovative and efficient operational practices.

## Number of Active Studies



**WE** have the review expertise for:

- Common Rule
- FDA
- HIPAA
- Veterans' Affairs
- Department of Defense
- Fetuses
- Neonates
- Children
- Prisoners
- Cognitively impaired adults



**WE** are a fully-functioning Single IRB and provide IRB review services for multi-center research across the United States.



**WE** are actively pursuing Justice, Equity, Diversity & Inclusion (JEDI) work by:

- Introducing a Community Advisory Panel
- Participating in national working groups
- Maintaining community relationships
- Updating policies and practices that enhance JEDI



**WE** use the ERICA Online System for high-quality, efficient IRB and HRPP reviews. This includes access for external users using our Single IRB.



**WE** continually study and improve ourselves by:

- Being a member of the AEREO Consortium
- Participating in national working groups
- Conducting several ongoing empirical projects on IRB/HRPP quality and translational science
- Beta testing unique and innovative IRB practices

## CONTACT

**Ann Johnson, PhD, MPH, CIP**  
Director  
385-419-0712

**Annie Risenmay, MPA, CIP**  
Associate Director,  
Operations & Logistics  
801-587-9137

[irb.utah.edu](http://irb.utah.edu)



# OFI

# OFFICE OF FOREIGN INFLUENCE

## MISSION

Safeguarding the university's commitment to international collaborations and the global exchange of ideas, students, and scholars.

## SERVICES

The Office of Foreign Influence (OFI) addresses the risk of potential undue foreign influence associated with the University's ongoing commitment to advancing responsible research and discovery on local, national, and global levels.

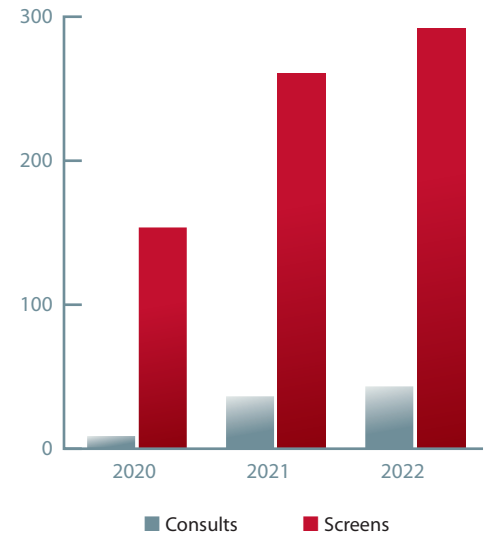
The Office educates the research community about best practices in preventing and combatting undue foreign influence. It directs the reporting requirements for managing foreign influence issues for the University of Utah.

Under the direction of the Associate Vice President for Research Integrity and the Office of the Vice President for Research, the OFI provides several services, including:

- **Screening/review** of foreign entities and/or individuals party to:
  - **Contracts/agreements** involving the institution and/or the faculty/staff/students
  - **Gifts/contributions** to the institution or the faculty/staff
  - **Collaborations** of the institution and/or the faculty/staff/students
  - **Foreign sponsored travel** for faculty/staff/students
- **Foreign Influence Education** through the University's Research Education (REd) course for Foreign Influence and other speaking engagements
- **Provides International Travel Guidance** on the OFI Website and on an individual level through foreign influence consults
- **Offers Foreign Influence Consults** to groups and individuals across the institution

The services described above help to ensure the continuance and growth of international collaborations in a safe manner.

## METRICS



## CONTACT

If you have any questions or would like to contact the Office of Foreign Influence, please visit the OFI website at

[foreigninfluence.utah.edu](https://foreigninfluence.utah.edu)

or email

[foreigninfluence@utah.edu](mailto:foreigninfluence@utah.edu)





# OQC

OFFICE OF  
RESEARCH  
QUALITY  
COMPLIANCE

## MISSION

Setting the standard for research quality and integrity.

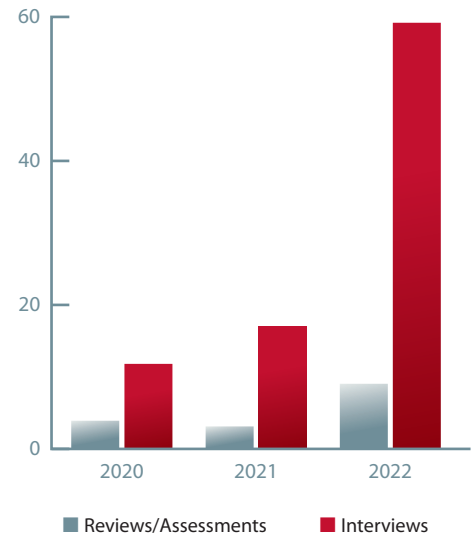
## SERVICES

Research quality and integrity is essential to the mission of the University of Utah. The Office of Quality Compliance (OQC) provides services and resources to ensure that research across campus is conducted ethically, efficiently, and meets quality and data integrity standards.

Under the direction of the Associate Vice President for Research Integrity and the Office of the Vice President for Research, the OQC provides several services and resources to university researchers and teams, including:

- **Three types of reviews** to evaluate and improve study performance:
  - **Self-Assessment** of study documentation and protocol activities
  - **Research Climate Assessment** to optimize study team performance
  - **Best Practice Review** to investigate potential concerns or issues
- Leads the creation and management of **University-wide Clinical Research SOPs** to be used by all individuals and groups conducting clinical research at the University of Utah
- Facilitates the development of **Guidance Documents** that describe how specific research-related procedures and operational workflows should or could be performed
- Development of a research **"Tool Kit:"** A collection of essential document templates for study team use (with or without modification), such as the Adverse Event log and Delegation of Authority log
- **Research Quality Compliance Network (RQCN):** A university-wide collaboration of people working in research compliance, which offers compliance-related presentations, trainings and resources
- Utah Research Monitoring & Auditing Partnership (UR MAP): A network of research compliance professionals that are actively involved in conducting monitoring/auditing
- Oversees account requests and resources for **clinicaltrials.gov** users with a focus on compliance with federal reporting regulations and requirements

## METRICS



## CONTACT

Contact the Office of Quality Compliance by emailing

✉ [oqc@utah.edu](mailto:oqc@utah.edu)



# ORPA

OFFICE OF  
RESEARCH  
PARTICIPANT  
ADVOCACY

## WHAT WE DO

The ORPA provides support to research participants and researchers through participant advocacy including dedicated language access services for people who speak a language other than English.

## MISSION

The mission of the ORPA is to increase equitable access and opportunity to research. By serving as a voice for research participants and community members involved in or considering taking part in research as well as to provide a resource to the university-wide research enterprise we will discover and remove barriers to research participation.

## SERVICES

- Language access services for non-English speaking participants and researchers with in-house certified Spanish translators and interpreters
- Training and education
- Health literacy evaluation and support
- Participant liaison
- Recruitment strategy design and support including:
  - Studies4You/StudyLocator: <https://studylocator.utah.edu>
  - ResearchMatch: <https://www.researchmatch.org>



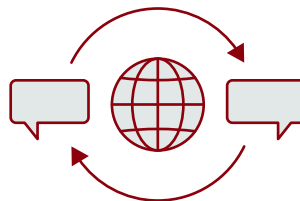
## METRICS

# 75

new research studies utilized translation and interpreter services in 2022

# 206

research encounters served through the use of interpreter support



19 new languages added to the short form/parental permission IRB translation library for a total of

# 56 LANGUAGES

available at end of year 2022

**Our in-house Spanish language services team translated 874 documents, 702 new study documents and 172 amended study documents,** totaling over 1.5 million words translated—up from 587 documents in 2021 and 521 in 2020. This equates to an increase of 48% from 2021 and 68% in 2020 respectively

## CONTACT

**Office of Research  
Participant Advocacy**

801.581.3803

[participant.advocate@hsc.utah.edu](mailto:participant.advocate@hsc.utah.edu)

**Language Access Services**

801.585.6745

[research.translation@hsc.utah.edu](mailto:research.translation@hsc.utah.edu)

**Sadie Gabler**

*Director*

[sadie.gabler@hsc.utah.edu](mailto:sadie.gabler@hsc.utah.edu)



Translation Request Form:  
[https://rpa.utah.edu/\\_resources/documents/research-translation-request-form.pdf](https://rpa.utah.edu/_resources/documents/research-translation-request-form.pdf)



ORPA assisted 

# 72

 community members with research opportunities



# OFFICE OF RESEARCH EDUCATION

## WHAT WE DO

REd offers grant writing trainings, panel discussions, best practice networks, workshops, and classes in both synchronous and asynchronous formats.

## OBJECTIVES

- Offer up-to-date classes and workshops that enhance knowledge about research integrity.
- Facilitate interactive learning environments to develop skills to advance the research enterprise.
- Provide resources and tools to implement best practices across the research spectrum.

## MISSION & OUTREACH

During the 2022–23 academic year, REd provided high-quality research education content to the University of Utah community with 75 unique classes offered, 142 certificates awarded, and over 1,300 individual U of U participants engaged in research education classes. REd achieved **three key successes** in 2022:

1. Assigned course numbers for all current and planned class offerings
2. Developed a new certificate program on Research Development
3. Expanded REd’s advertising and outreach capacity by adding two newsletters, two social media channels, and additional listservs

REd also continued to grow its podcast offerings, executed a major website overhaul, and designed new graphical elements to enhance accessibility of our materials, including infographics and a new certificate template. REd is committed to offering fee-based trainings to external participants and aims to hire a new staff member dedicated to managing the out-facing offerings.



**Jesse Morris, PhD**

*Director*

[jesse.morris@utah.edu](mailto:jesse.morris@utah.edu)

**Alison Lord, MEd**

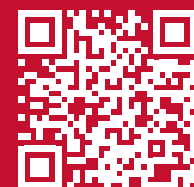
*Training & Development Coordinator*

[alison.lord@utah.edu](mailto:alison.lord@utah.edu)

**Elliot Frei**

*Training & Communication Coordinator*

[elliott.frei@hsc.utah.edu](mailto:elliott.frei@hsc.utah.edu)



[education.research.utah.edu](http://education.research.utah.edu)  
[researcheducation@utah.edu](mailto:researcheducation@utah.edu)

## SERVICES



**Finance & Budget Management**



**Grant Writing**



**Research Mentoring**



**Compliance in Research Activities**



**Responsible & Ethical Conduct of Research (RCR/RECR)**



**Data Management**





# RGE

## UTAH RESOURCE FOR GENETIC AND EPIDEMIOLOGIC RESEARCH

### WHAT WE DO

The Utah Resource for Genetic and Epidemiologic Research (RGE) was established by Executive Order of the Governor of in 1982, as a “data resource for the collection, storage, study, and dissemination of medical and related information” for “the purpose of reducing morbidity or mortality, or for the purpose of evaluating and improving the quality of hospital and medical care.”

- Approve projects requesting access to the Utah Population Database and Utah Cancer Registry
- Regulatory oversight of the data
- Compliance for projects using the data
- Legal agreements with data contributors
- Policies and procedures for data access and use

Projects are reviewed by the RGE Committee, which meets twice a month. The Committee includes:

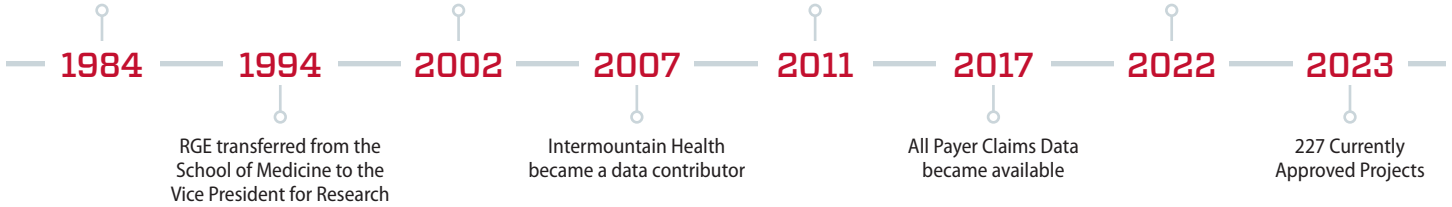
- Representatives of the data contributors
- University faculty with expertise in genetics, epidemiology, public health and biomedical informatics
- RGE staff

RGE transferred from the Utah Department of Health to the University of Utah

UDPB linked to the University of Utah electronic health records

RGE application integrated into ERICA

Data Security Analyst hired



### MISSION

RGE facilitates appropriate access and responsible use of data in the Utah Population Database (UPDB) and Utah Cancer Registry (UCR) for research and public health projects.

### SERVICES

- Meetings with investigators interested in using the data
- Assistance with the application process in the Electronic Research Integrity and Compliance Administration (ERICA) system at [erica.research.utah.edu](http://erica.research.utah.edu)
- Data security reviews for locations storing RGE data
- Review publications resulting from the use of the data
- RGE may contact potential research participants to ascertain interest in a specific research project

**Jahn Barlow, MPA**  
Director  
[Jahn.Barlow@utah.edu](mailto:Jahn.Barlow@utah.edu)

**Jennifer West, MS**  
Sr. Research Manager  
[Jennifer.A.West@utah.edu](mailto:Jennifer.A.West@utah.edu)

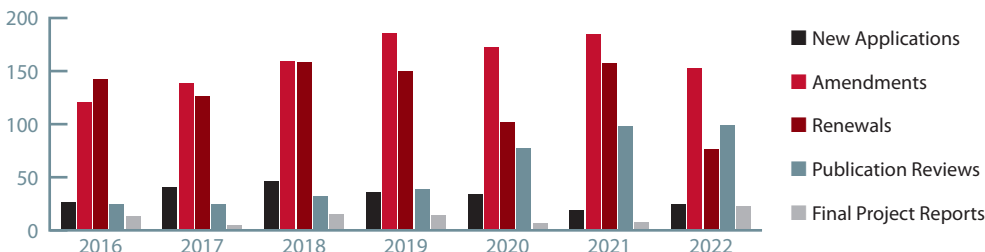
**Jared DeWitt**  
Sr. Data Security Analyst  
[RGEsecurity@utah.edu](mailto:RGEsecurity@utah.edu)

**801-581-6351**

**[rge@utah.edu](mailto:rge@utah.edu)**

**Deborah Neklason, PhD**  
RGE Committee Chair  
[Deb.Neklason@hci.utah.edu](mailto:Deb.Neklason@hci.utah.edu)

### METRICS





# RIO

# RESEARCH INTEGRITY OFFICER

## MISSION

The mission of the Research Integrity Officer (RIO) is to:

1. Ensure integrity in the proposal, performance, review, and reporting of research activities
2. Promote the safe and respectful treatment of all individuals associated with University research activities, units, and/or environments

## WHAT WE DO:

The Research Integrity Officer (RIO):

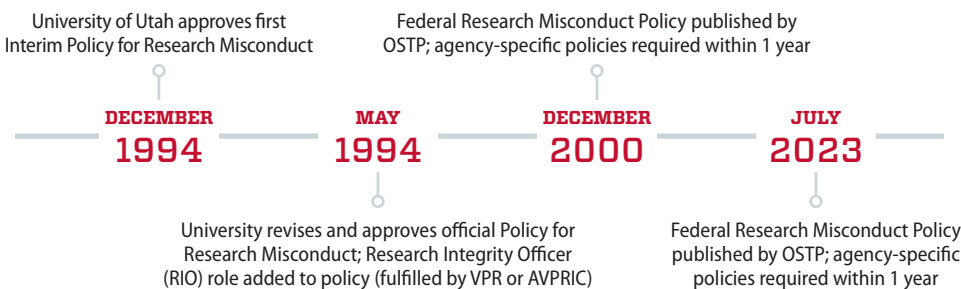
- Receives allegations of research misconduct and manages the University process for addressing and resolving allegations (as defined in University Policy 7-001)
- Receives complaints and concerns of unprofessional behavior occurring in the research environment (including bullying, harassment and other inappropriate conduct, retaliation, and the creation of a hostile work environment) and facilitates resolution through collaboration with the applicable department chair, college dean, faculty SVP, and/or other University offices
- Supports the development of educational activities and University policies related to all areas of research integrity and the responsible and professional conduct of research

## SERVICES

We have the expertise and/or authority to:

- Provide consultation regarding research integrity concerns or issues related to the safe and respectful treatment of individuals in the research environment
- Receive allegations of research misconduct
- Facilitate research misconduct reviews
- Report misconduct institutionally and federally, as applicable
- Offer education & training about research misconduct and professional behaviors in research
- Assist in the creation and revision of University policies regarding research integrity and professional conduct

## TIMELINE



# 6

Encounters<sup>1</sup> in 2022

<sup>1</sup>Encounter means receiving an allegation of research misconduct and/or complaint of unprofessional behavior(s).

In 2022, we created an automated system for documenting allegations of research misconduct. In 2023, we are further developing the system to include automated processes for managing the entire research misconduct review process. This will help ensure compliance with federal and institutional regulations and policies.



## CONTACT

**Zachary Mitchell**  
*Research Integrity Officer*  
Zachary.Mitchell@hsc.utah.edu

✉ [researchintegrity@utah.edu](mailto:researchintegrity@utah.edu)

☎ 801-581-7170

An allegation of research misconduct or unprofessional behavior can also be reported anonymously through EthicsPoint, here:

🌐 [www.ethicspoint.com](http://www.ethicspoint.com)

# REMINDERS

## IRB

Beginning July 1, 2023, all new, prospective studies with participant interaction conducted by University of Utah investigators within the state of Utah must adhere to a new policy requiring the inclusion of people who speak Spanish to receive IRB approval. All previously approved, prospective studies with participant interaction that are open to enrollment and submit for continuing review must adhere to this policy by April 1, 2024.

## RGE

All manuscripts and documents intended for publication or public presentation that use data obtained through RGE approval or that reference the Utah Population Database, Utah Cancer Registry or RGE data contributors should be submitted to RGE through ERICA for review prior to publishing or presenting.

### **University of Utah Land Acknowledgement:**

We acknowledge that this land, which is named for the Ute Tribe, is the traditional and ancestral homelands of the Shoshone, Paiute, Goshute, and Ute Tribes and is a crossroad for Indigenous peoples. The University of Utah recognizes the enduring relationships between many

Indigenous peoples and their traditional homelands. We are grateful for the territory upon which we gather today; we respect Utah's Indigenous peoples, the original stewards of this land; and we value the sovereign relationships that exist between tribal governments, state governments, and the federal government.





# PUBLICATIONS AND PRESENTATIONS

## COI

Gordon, B. (2023). SRAI iSeries Annual Conference. "COI – The Basics 101 and Moving Forward" oral presentation.

Ostrander, E. (2022). AAMC FOCI Annual Conference. "Conflicts From the Top: Identifying & Managing ICOs Arising from Senior Officials' Financial Interests" oral presentation with other institutional partners.

Ostrander, E. (2022). AAHRPP Annual Conference. "Identifying and Managing 'Special' Conflicts of Interest in Research" oral presentation with other institutional partners.

Ostrander, E. & Gordon, B. (2022). WCG MAGI West Annual Conference. "Institutional and Investigator Conflicts of Interest" oral presentation.

Ostrander, E. (2022) "UCLA said its pot research was independent but hid that Big Cannabis was paying some of the bills" LA Times Interview. Available at <https://www.latimes.com/california/story/2022-12-15/big-cannabis-weed-funding-ucla-harvard-mit-research-studies>

## IRB

Anderson, E.E., Johnson, A.R., & Fernandez Lynch, H. (2023). Inclusive, engaged, and accountable institutional review boards. Article Commentary. *Accountability in Research*. <https://doi.org/10.1080/08989621.2023.2220884>

Anderson, E. E., Hurley, E. A., Serpico, K., Johnson, A., Rowe, J., Singleton, M., Bierer, B. E., Cholka, B., Chaudhari, S., & Fernandez Lynch, H. (2022). Engaging key stakeholders to overcome barriers to studying the quality of research ethics oversight. *Research Ethics*, 19(1) 62-77. <http://dx.doi.org/10.1177/17470161221138028>

Burr, J. S., Johnson, A., Risenmay, A., Bisping, S., Serdoz, E. S., Coleman, W., Sward, K. A., Rothwell, E., & Dean, J. M. (2022). Demonstration project: Transitioning a research network to new single IRB platforms. *Ethics & Human Research*, 44(6), 32–38. <https://doi.org/10.1002/eahr.500149>

Johnson, A.R., Kasimatis Singleton, M., Ozier, J., Serdoz, E., Beadles, J.G., Maddox-Regis, J., Mumford, S., Burr, J., Dean, J.M., Ford, D.E., & Bernard, G.R. (2022). Key lessons

and strategies for implementing single IRB review in the Trial Innovation Network. *Journal of Clinical and Translational Science*, 6(1), E53. doi:10.1017/cts.2022.391

Lowe, A.E., Kraft, C., Kortepeter, M.G., Hansen, K.F., Sanger, K., Johnson, A., Grein, J.D., Martin, J., Rousselle, R., Garland, J.A., Spotts, J., Lowe, J.J., Sauer, L.M., Kratochvil, C.J., & Gordon, B.G. (2022). Developing a rapid response single IRB model for conducting research during a public health emergency. *Health Security* 20(S1), S60-S70. doi:10.1089/hs.2021.0181.

Johnson, A.R., Pautler, M., Burr, J.S., Abdelsamad, N., VanBuren J.M., Rigtrup, L.M., Dean, J.M., & Rothwell, R. (2022). Using single IRB consultations to meet the educational needs of investigative teams. *Contemporary Clinical Trials Communications* 29(100971). doi:[10.1016/j.conctc.2022.100971](https://doi.org/10.1016/j.conctc.2022.100971)

Johnson, A.R., Nadkarni, N.M., & Weber, C.Q. (2022). Providing public engagement training to build connections between the community and research ethics professionals: A pilot project. *Journal of Empirical Research on Human Research Ethics* 17(5), 545-553. doi:[10.1177/15562646221126282](https://doi.org/10.1177/15562646221126282)

## RGE

Smith, K.R., Fraser, A., Reed, D.L., Barlow, J., Hanson, H.A., West, J., Knight, S., Forsythe, N., & Mineau, G.P. (2022). The Utah Population Database. A model for linking medical and genealogical records for population health research. *Historical Life Course Studies*, 12, 58–77. <https://doi.org/10.51964/hlcs11681>

## RPA

Gabler, S., Barrios, A., Kakishita, S., Cufino, D., & Frost, C.J. (2021). Increasing diversity in research through dedicated language access services. *Contemporary Clinical Trials* 106, 106439. <https://doi.org/10.1016/j.cct.2021.106439>. [<https://www.ncbi.nlm.nih.gov/pmc/articles/PMC8222159>]

# ADDITIONAL RESOURCES

**Anonymous Research Misconduct Reporting**

<http://www.ethicspoint.com/>

**CHPC-Research Computing and Data Support for the University**

<https://www.chpc.utah.edu>

**Clinical Research Compliance & Education**

<https://uofuhealth.utah.edu/compliance/crce>

**Clinical & Translational Science Institute**

<https://ctsi.utah.edu>

**Environmental Health and Safety**

<https://oehs.utah.edu/>

**J. Willard Marriott Library**

<https://lib.utah.edu/>

**Office of Comparative Medicine Training**

<http://esirius.ocm.utah.edu/index.php/comparative-medicine/>

**Office of General Counsel**

<https://legal.utah.edu/index.php>

**Office of Sponsored Projects**

<https://osp.utah.edu/index.php>

**Office of the Vice President for Research**

<https://www.research.utah.edu>

**Pivot Center**

<https://pivotcenter.utah.edu>

**Spencer S. Eccles Health Sciences Library**

<https://library.med.utah.edu>

**Studies For You**

<https://studiesforyou.utah.edu>

**UHIVE**

<https://hive.utah.edu>



